Attorney's Docket No.: 12732-028001 / US4856

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shunpei Yamazaki et al.

Art Unit : 2624

Serial No.: 09/833,674

Examiner: Anthony M. Mackowey

Filed

: April 13, 2001

Conf. No. : 2128

Title

: SYSTEM AND METHOD FOR IDENTIFYING AN INDIVIDUAL

MAIL STOP AF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed.

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. Each item of information in this statement (i.e., reference "AB") (i) was cited in a communication from a foreign patent office in a counterpart foreign application (i.e., reference "AD"), the communication relating to counterpart European Application No. 01109595.7 and being dated June 29, 2007, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement.

No fee is believed to be due in connection with the filing of this paper on the Electronic Filing System (EFS). In the event that any fees are due, please apply any charges or credits to deposit account 06-1050.

Respectfully submitted,

Date: September 19, 2007

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| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 12732-028001 | Application No. 09/833,674 | | | |
|---|--|------------------------------------|----------------------------|--|--|--|
| | losure Statement plicant | Applicant Shunpei Yamazaki et al. | | | | |
| (Use several sheets if necessary) (37 CFR §1.98(b)) | | Filing Date April 13, 2001 | Group Art Unit 2624 | | | |

| U.S. Patent Documents | | | | | | | | | | | |
|-----------------------|--------------|--------------------|---------------------|----------|-------|----------|----------------------------|--|--|--|--|
| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate | | | | |
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| Initial | ID | Number | Date | Patent Office | Class | Subclass | Yes | No | | | |
| | AB | WO-1999/018590 | 04-15-1999 | WIPO | | | ENG | | | | |
| | AC | | | | | | | | | | |

| | Other D | ocuments (include Author, Title, Date, and Place of Publication) |
|---------------------|--------------|--|
| Examiner Initial | Desig. ID | Document |
| | AD | Office Action (Application No. 01109595.7; EP4856 Dated June 29, 2007) |
| | AE | |

| Examiner Signature | | | | Dat | e Consid | dered | | | | | |
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.